

Agenda

Reliability Issues Steering Committee

April 8, 2021 | 1:00-2:00 p.m. Eastern
Virtual Meeting

Attendee WebEx Link: [Join Meeting](#)

Introductions and Chair's Remarks

NERC Antitrust Compliance Guidelines

Agenda Items

- 1. Draft Minutes – January 28, 2021* - Approve**
- 2. Standing Committees Coordinating Group (SCCG) Scope* - Accept**
- 3. 2021 ERO Reliability Risk Priorities Report**
 - a. Report Subgroups Update
 - b. Reliability and Security Technical Committee and Reliability Issues Steering Committee Quarterly Leadership Coordination Call Update
- 4. Other Matters, Closing Remarks and Adjournment**

*Background materials included.

Antitrust Compliance Guidelines

I. General

It is NERC's policy and practice to obey the antitrust laws and to avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or that might appear to violate, the antitrust laws. Among other things, the antitrust laws forbid any agreement between or among competitors regarding prices, availability of service, product design, terms of sale, division of markets, allocation of customers or any other activity that unreasonably restrains competition.

It is the responsibility of every NERC participant and employee who may in any way affect NERC's compliance with the antitrust laws to carry out this commitment.

Antitrust laws are complex and subject to court interpretation that can vary over time and from one court to another. The purpose of these guidelines is to alert NERC participants and employees to potential antitrust problems and to set forth policies to be followed with respect to activities that may involve antitrust considerations. In some instances, the NERC policy contained in these guidelines is stricter than the applicable antitrust laws. Any NERC participant or employee who is uncertain about the legal ramifications of a particular course of conduct or who has doubts or concerns about whether NERC's antitrust compliance policy is implicated in any situation should consult NERC's General Counsel immediately.

II. Prohibited Activities

Participants in NERC activities (including those of its committees and subgroups) should refrain from the following when acting in their capacity as participants in NERC activities (e.g., at NERC meetings, conference calls and in informal discussions):

- Discussions involving pricing information, especially margin (profit) and internal cost information and participants' expectations as to their future prices or internal costs.
- Discussions of a participant's marketing strategies.
- Discussions regarding how customers and geographical areas are to be divided among competitors.
- Discussions concerning the exclusion of competitors from markets.
- Discussions concerning boycotting or group refusals to deal with competitors, vendors or suppliers.

- Any other matters that do not clearly fall within these guidelines should be reviewed with NERC's General Counsel before being discussed.

III. Activities That Are Permitted

From time to time decisions or actions of NERC (including those of its committees and subgroups) may have a negative impact on particular entities and thus in that sense adversely impact competition. Decisions and actions by NERC (including its committees and subgroups) should only be undertaken for the purpose of promoting and maintaining the reliability and adequacy of the bulk power system. If you do not have a legitimate purpose consistent with this objective for discussing a matter, please refrain from discussing the matter during NERC meetings and in other NERC-related communications.

You should also ensure that NERC procedures, including those set forth in NERC's Certificate of Incorporation, Bylaws, and Rules of Procedure are followed in conducting NERC business.

In addition, all discussions in NERC meetings and other NERC-related communications should be within the scope of the mandate for or assignment to the particular NERC committee or subgroup, as well as within the scope of the published agenda for the meeting.

No decisions should be made nor any actions taken in NERC activities for the purpose of giving an industry participant or group of participants a competitive advantage over other participants. In particular, decisions with respect to setting, revising, or assessing compliance with NERC reliability standards should not be influenced by anti-competitive motivations.

Subject to the foregoing restrictions, participants in NERC activities may discuss:

- Reliability matters relating to the bulk power system, including operation and planning matters such as establishing or revising reliability standards, special operating procedures, operating transfer capabilities, and plans for new facilities.
- Matters relating to the impact of reliability standards for the bulk power system on electricity markets, and the impact of electricity market operations on the reliability of the bulk power system.
- Proposed filings or other communications with state or federal regulatory authorities or other governmental entities.
- Matters relating to the internal governance, management and operation of NERC, such as nominations for vacant committee positions, budgeting and assessments, and employment matters; and procedural matters such as planning and scheduling meetings.

DRAFT Minutes

Reliability Issues Steering Committee

January 28, 2021 | 1:00-4:00 p.m. Eastern

Chair Nelson Peeler convened the meeting at 1:00 p.m. Eastern on January 28, 2021 and thanked everyone for attending. Tina Buzzard, NERC Staff, reviewed the procedures for the meeting, reviewed the NERC Antitrust Compliance Guidelines and Public meeting notice, and confirmed quorum.

Introduction and Chair's Remarks

Mr. Peeler provided an overview of the agenda and the goals for the meeting. He reflected on the successful implementation of and discussions during the 2021 Reliability Leadership Summit recognizing NERC staff and the moderators for their preparations, as well as recognizing the speakers for the expertise brought to the discussions. In addition, Mr. Peeler recognized and thanked those RISC members whose terms expired as of January 31, 2021: Past RISC Chair Peter Brandien, Erik Takayesu, and Jennifer Sterling.

Agenda Items

Minutes

The draft minutes for the December 9, 2020 meeting were approved as presented to the Committee at this meeting.

2021 Reliability Leadership Summit Post Mortem

Mr. Peeler led a post mortem on the 2021 Reliability Leadership Summit reviewing what worked well and opportunities for improvement, as well as a review of the discussions, questions and comments received during the Summit and Committee thoughts on identification of any new risks, new areas for consideration that should be evaluated and addressed in the 2021 ERO Reliability Risk Priorities Report (RISC Report).

Review "First Draft" of Emerging Risks Survey Results

Mr. Coleman presented a high level assessment of the Emerging Risks Survey results noting a more detailed review will be completed by the report subgroups for determination of any applicable impact to the profile sections of the RISC Report.

Enhancements Needed to Improve the [Report from 2019](#)

Vice Chair Slocum lead a discussion with the Committee members on recommended enhancements to improve the RISC Report that the report subgroups will be able to utilize and incorporate into the profile sections, as well as NERC staff can incorporate into the Executive Summary and heat maps.

Review Report Timeline and Assign Report Subgroups

Ms. Buzzard reviewed the report timeline and highlighted the deliverable due dates in line with the RISC meetings and the final report to be brought before the Board of Trustees for review and acceptance in August 2021. Mr. Peeler requested volunteers to work on the individual profile sections of the report, noting Ms. Buzzard will coordinate the meetings for the subgroups.

Other Matters

Chair Peeler closed the meeting again thanking the members and all who participated in a successful Summit.

Adjournment

The meeting concluded at 4:00 p.m. Eastern.



Tina M. Buzzard
Secretary

Standing Committees Coordinating Group (SCCG) Scope

Action

Accept

Summary

The SCCG has been in existence for a number of years as an informal means for the standing committees reporting to the Board to coordinate their work plans. The group is formalizing their scope and activities and are seeking RISC acceptance of their scope document.

NERC

NORTH AMERICAN ELECTRIC
RELIABILITY CORPORATION

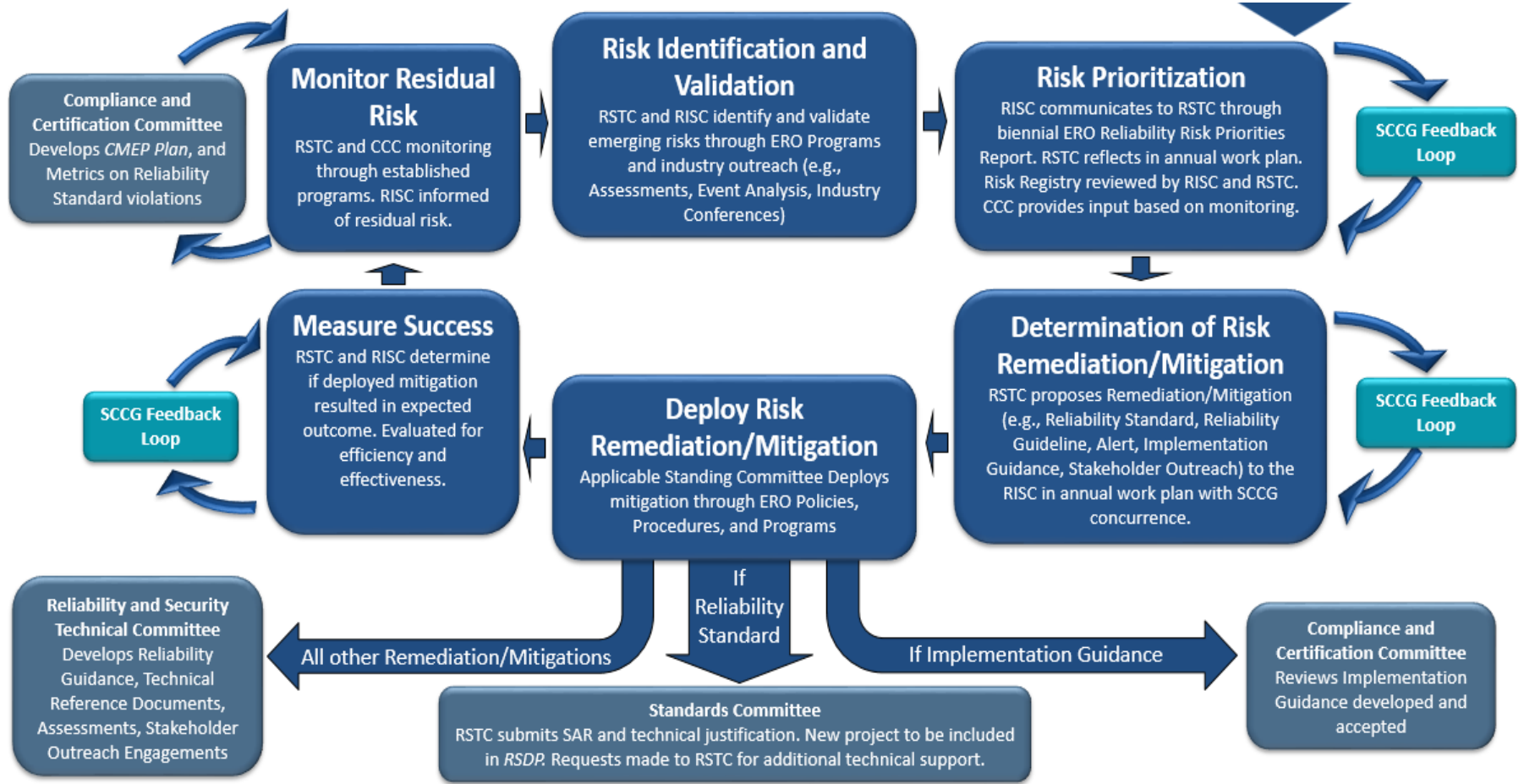
Standing Committees Coordinating Group Scope Document

David Zwergel, RSTC Vice Chair
Reliability Issues Steering Committee Meeting
April 8, 2021

RELIABILITY | RESILIENCE | SECURITY



- The Standing Committee Coordination Group (SCCG) is an advisory committee that supports coordination between the North American Electric Reliability Corporation (NERC) standing committees on cross-cutting matters of importance to bulk power system (BPS) reliability, security and resilience.
- The SCCG has been in existence for years but in an informal capacity.
- The SCCG is putting forth a scope document for formal approval and adoption by the NERC Board of Trustees.



- The SCCG performs two primary functions for the standing committees.
 - The first function of the SCCG is to evaluate the manner in which standing committee address risks to the reliability, security and resilience of the BPS by providing a cross-cutting mitigations in a coordinated fashion.
 - The SCCG provides strategic advice to the standing committees and others on the ERO Enterprise's holistic efforts to triage key reliability, security and resilience risks and propose solutions to manage those risks.

- Second, the SCCG provides an annual analysis of NERC initiatives to address risks to the BPS. The comparison of initiatives to ERO Enterprise priorities is designed to support the following activities:
 - Support a BPS risk registry:
 - Identification and description of risks
 - Prioritization of risks
 - Work plan to address risks
 - Status of the work plan
 - Status of risk management or monitoring
 - Feedback on mitigation activities, risk prioritization and measurement of success when addressing risks identified in the risk registry
 - Annual standing committee work plan planning and quarterly coordination

- The SCCG shall be comprised of the Chairs and Vice-Chairs of the following NERC Standing Committees:
 - Reliability Issues Steering Committee
 - Reliability and Security Technical Committee
 - Standards Committee
 - Compliance and Certification Committee
 - Personnel Certification Governance Committee

- The SCCG requests that the Reliability Issues Steering Committee accept the scope document.



Questions and Answers

NERC

NORTH AMERICAN ELECTRIC
RELIABILITY CORPORATION

Standing Committee Coordination Group Scope Document

February 4, 2021

RELIABILITY | ACCOUNTABILITY



3353 Peachtree Road NE
Suite 600, North Tower
Atlanta, GA 30326
404-446-2560 | www.nerc.com

SCCG Scope Document

Standing Committee Coordination Group

- Purpose 1
- Reporting 1
- Overview and Functions 2
- Membership 2
- Officers 2
- Meetings 3

SCCG Scope Document

Purpose

The Standing Committee Coordination Group (SCCG or Committee) is an advisory committee that supports coordination between the North American Electric Reliability Corporation (NERC) standing committees (including the Reliability Issues Steering Committee, Personnel Certification Governance Committee, Standards Committee, Compliance and Certification CCC Committee, and Reliability and Security Technical Committee) on cross-cutting matters of importance to bulk power system (BPS) reliability, security and resilience.

The SCCG advises the NERC standing committees, NERC staff, regulators, Regional Entities, and industry stakeholders on standing committee cross-cutting initiatives to address risks to the BPS by implementing the risk framework and addressing issues identified in the risk registry and/or NERC assessments. The SCCG's activities enhance transparency, efficiency, and effectiveness of NERC Standing Committee work, by ensuring communication and coordination on a regular basis.

See Figure 1 below for illustration of standing committee feedback loop.

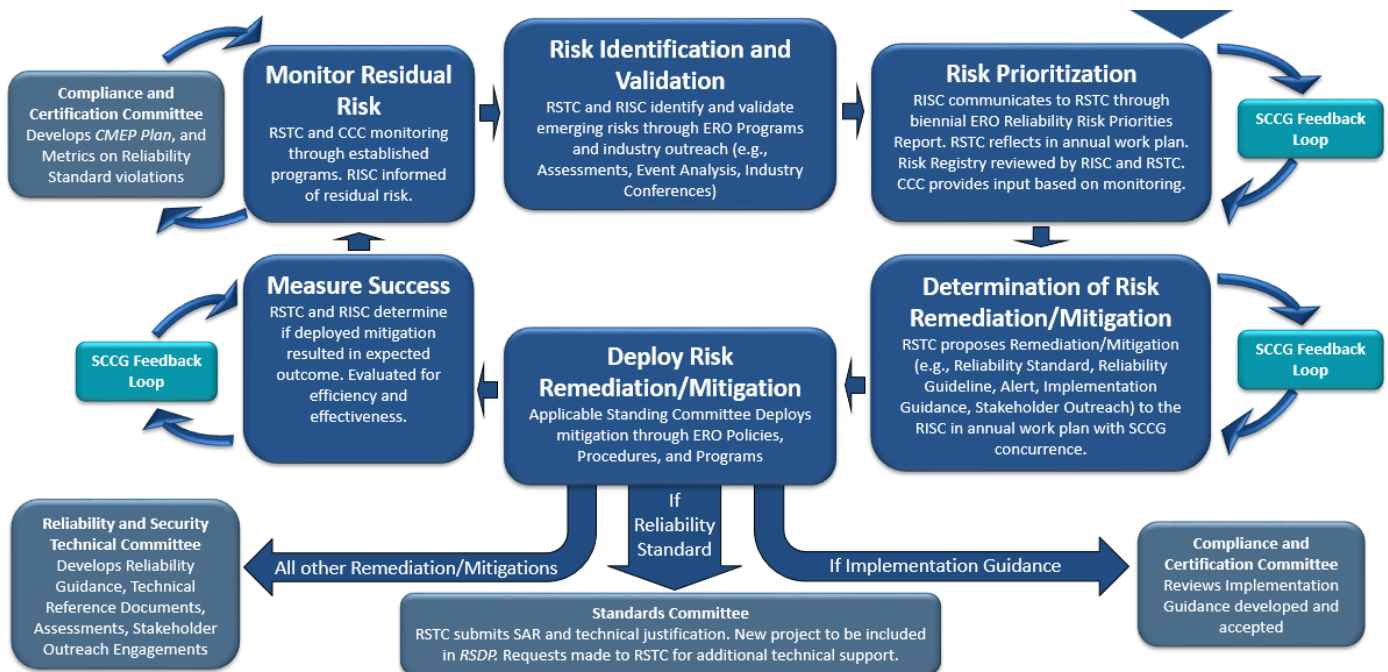


Figure 1 - Standing Committee feedback loop

Reporting

The SCCG shall provide quarterly reports to the standing committees for inclusion in their public Agenda posting on cross-cutting initiatives addressing risks to the reliability, security, and resilience of the BPS. This report shall be prepared in advance and voted on by the SCCG at the SCCG's quarterly meetings.

SCCG Scope Document

Overview and Functions

The SCCG performs two primary functions for the standing committees.

- The first function of the SCCG is to evaluate the manner in which standing committees address risks to the reliability, security and resilience of the BPS by providing a cross-cutting mitigations in a coordinated fashion. The SCCG provides strategic advice to the standing committees and others on the ERO Enterprise's holistic efforts to triage key reliability, security and resilience risks and propose solutions to manage those risks.
- Second, the SCCG provides an annual analysis of NERC initiatives to address risks to the BPS. The comparison of initiatives to ERO Enterprise priorities is designed to support the following activities:
 - Support a BPS risk registry:
 - Identification and description of risks
 - Prioritization of risks
 - Work plan to address risks
 - Status of the work plan
 - Status of risk management or monitoring
 - Feedback on mitigation activities, risk prioritization and measurement of success when addressing risks identified in the risk registry
 - Annual standing committee work plan planning and quarterly coordination

In addition, the SCCG performs such other functions that may be required.

Membership

The SCCG shall be comprised of the following members:

The Chairs and Vice-Chairs of the following NERC Standing Committees:

- Reliability Issues Steering Committee,
- Reliability and Security Technical Committee,
- Standards Committee,
- Compliance and Certification Committee, and
- Personnel Certification Governance Committee,

Officers

1. **Selection of the Chairs** - The Vice-Chairs of each of the standing committees shall serve as rotating co-chairs of the SCCG, for a two-year term. The initial co-chairs shall be the Vice-Chairs of the Reliability and Security Technical Committee and Reliability Issues Steering Committee. They will direct the activities of the SCCG and work toward reaching consensus on all recommendations and actions.
2. **Selection and Duties of the Secretary** - NERC will appoint one senior staff person to serve as a secretary with the responsibility to:
 - a. Prepare and distribute notices of Committee meetings, record meeting proceedings, and prepare and distribute post meeting minutes and reports.
 - b. Maintain a record of all Committee proceedings, including responses, and correspondence.
 - c. Maintain Committee membership records.

Meetings

1. **Meetings** - Meetings shall occur at least once every quarter on a timeline aligned with the NERC Board of Trustee Meeting calendar and can be in person or by conference call as determined by the co-chairs. Notices shall describe the purpose of meetings and shall identify a readily available source for further information about the meeting.
2. **General Requirements** - The Committee shall hold meetings as needed and may use conference calls or email to conduct its business.
3. **Notice** - The SCCG secretary shall announce its regularly scheduled meetings with a written notice (letter or e-mail) to all Committee members not less than ten and no more than sixty calendar days prior to the date of the meeting. This notice requirement may be shortened for special meetings by unanimous consent of the Committee members.
4. **Agenda** - The SCCG secretary shall provide an agenda with a written notice (letter, facsimile, or e-mail) for Committee meetings no less than five business days before a proposed meeting.
 - a. The agenda shall include, as necessary, background material for agenda items requiring a decision.
 - b. Items not in the agenda that require a decision cannot be added at a meeting without unanimous consent of the members present. Such items may also be deferred to the next meeting so that Committee members have time to consult with others.
5. **Quorum**. The quorum necessary for the transaction of business (*i.e.*, formal actions, if any) at meetings of the committee is a majority of the members currently on the committee roster (*i.e.*, not including vacancies). The Committee may engage in discussions without a quorum present.
6. **Proxies**. Proxies are not permitted.